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Attrition of Symmetricality in the Austronesian Voice System:

Insights from Indonesia's Barrier Island Languages and beyond

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Outline of the talk

Introduction: what & why?

- An overview of Austronesian (AN) voice symmetricality.
- Why voice symmetricality?
- Barrier Islands languages & types of attrition in AN voice symmetricality.
 - Critical Questions regarding the primary or initial triggering factor.
- Findings & Discussion
- Final remarks & future research

Austronesian Voice System & symmetricality: an overview Languages with a robust AV-UV alternation

Voice symmetricality & transitivity in Austronesian (AN) (Foley 1998/2008, Arka 2003, Himmelmann 2005, Riesberg 2014, among others):

- SUBJ/PIVOT selection with no demotion of A/P in the AV/UV alternation:
- AV and UV are typically equ morphologically marked

Totoli (Kroeger & Riesberg 2024:798)

buki? nanako? ana.7 a. I Budi Budi **noN-**tako? buki? i ana Budi AV.REAL-climb mountain HON MED 'Budi climbed that mountain.' b. Buki? ana Budi. nitako? buki? ni-tako? ana Budi mountain MED UV.REAL-climb HON Budi 'Budi climbed that mountain.' (Leto et al. 2005-2010)

Voice symmetricality is of typological and theoretical interest.
 Its instability, attrition and ultimate demise in the Austronesian (AN)
 languages of Indonesia's peripheral regions are not well understood.

Austronesian Voice System & symmetric anguages with a robust AV-UV alternation				m-(p)alu (<n-palu) Ø-palu ka-palu ma-palu ma-palu</n-palu) 	'AV-collide' 'UV-collide' 'PASS-collide' 'MID-collide' (Arka 2009b: 247)		b: 247)
	Voico cummotricolity & tr	ancitivity in		Balinese (Arka 201	9:261)		
	Voice symmetricality & transitivity in Austronesian (AN) (Foley 1998/2008, Arka 2003, Himmelmann 2005, Riesberg 2014, among others):			a. <i>Tiang ng-ade</i> 1 AV-sell PIV:A 'I sold the ch	<i>p siap-</i> chicl P icken.'	e ken-DEF	
	 SUBJ/PIVOT selection with no demotion of A/P in the AV/UV alternation: 			b. <i>Siap-e</i> chicken-DEF	Ø-ade uv-sel	p tiang ll 1	
	• AV and UV are typically e	qually		PIV:P 'I sold the ch	icken.'	A	
	• The presence/absenc			f voice symmetrica f UV	lity =		
		 It is presence is a math forms and functions 	ter	of gradience in bot	:h		

Balinese

Attrition of voice symmetricality

The typology of the AN languages of Indonesia with no or restricted UV alternation



• **Type 1, Barrier Islands**: e.g. Enggano and Mentawai:

- Multiple 'active' voice constructions
- No SUBJ-only constraint
- Pron indexing & possibly with a 'passive-like' construction

• **Type 2, western Flores**; e.g. Manggarai and Rongga:

- Active voice without AV morphology
- Still showing SUBJ-only constraint
- No pron indexing but with a clear analytical activepassive alternation
- **Type 3, Sumba Island**; e.g. Kodhi and Kamberra:
 - No syntactic SUBJ
 - Pron indexing, non-alternating, no passive constr.

Relevant properties in the attrition of UV/voice symmetricality:

- (i) Attrition/loss of AV morphological marking
- (ii) Emergence of systematic pronominal indexing affixes/clitics
- (iii) Clausal word order: V-initial → V-medial
- (iv) PIVOT: attrition of the SUBJ-only Constraint
- Questions:
 - How are properties in (i)-(iv) interrelated?
 - Which one is the main trigger or the most contributing variable?

Types of Attrition of Austronesian Voice Symmetricality



The collapse of the AV-UV distinction in **Type 1** languages:

 Multiple Active Voice Constructions, e.g., as seen in Enggano and Mentawai

The AV Const. with pronominal indexing prefix

Type 1: Enggano (Hemmings, to appear)

a.	The Transitive BARE Verb Construction: SET2 Pronominal Prefix, typically IRREALIS									
	Ke'	i-no	yũ'	k-a	abuh.					
		3-eat	food	KI-	cooked					
	'It doesn't eat cooked food.' (Burung Hantu, 99)									
b.	The BU-V	erb Const	ruction: SE	T 1 Pror	iominal Pi	refix, typ	ically	REAL	IS, PERF	
	Ka e'anah	а	ka-bu-kEi=	=xa		honã=r	nĩã		e'ana.	
	then		3- BU-catcl	n=EMPH		wife=39	G.POS	SS	DEM	
	'Then he g	grabbed hi	s wife.' (Kä	hler 195	7, 9.4)					
с.	The ki- Co	onstruction	n: No Pron	Prefix, S	SVO, gene	ral/defau	ult, IM	PERF	, Relativ	e Clause
	U	ki-no	a	rkih.						
	1SG	KI-eat	ri	ice						
	'l eat rice.	' (Basic St	ructures, 54	44)						
d.	kir- passiv	ve with age	nt							
	Engga	ki-r	-kakarai	(o	kak	mėk).				
	Engga	KI-P	ASS -chase	OBL	person	many				
	`Engga wa	as chased (b	by the crowc	l).' (Relat	ive Clause	s & Simila	tives,	140)		

ada Acet		Free pronoun	SET 1 (with <i>bu</i> - verbs)	SET 2 (with bare verbs)
	1SG	u	u-	u-
-	2sg	ė'	ė-	<mark>u-</mark>
	3sg	ki	ka-	<mark>i-/y-</mark>
	1DU.INCL	ik	ka-	ka-
٢	1PL.EXCL	a	u- a	u- a
l	2pl	ari	ė- a	<mark>u- a</mark>
	3pl	ki	da-/na-	da-/na-

Types of Attrition of Austronesian Voice Symmetricality

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	/	Ka e'anah	a	ka -bu-kE	i=xa		honã=nĩã		e'ana.	
		then		3- BU-cate	ch=ЕМРН	1	wife=3sg.	POSS	DEM	
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-										
	с.	The ki- Co	onstructio	n: No Pro r	<mark>ı</mark> Prefix,	SVO, gene	ral/default,	IMPERF	, Relative	Clause
		U	ki-no		arkih.					
		1SG	KI-eat		rice					
		'l eat rice.	' (Basic St	ructures, g	544)					
	d.	kir- passiv	ve with ag	ent						
		Engga	ki-	r-kakarai	(o	kak	mėk).			
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		'Engga wa	as chased (by the crow	d).' (Rela	ative Clause	s & Similativ	es, 140)		

The collapse of the AV-UV distinction in **Type 1** languages:

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The AV Const. with pronominal indexing prefix

Types of Attr	
Austronesiar	

	Enggano	Mentawai	Nias (typically
			IRR, embedded)
1s	<i>u</i> -	ku-	gu-
2s	ė-	nu-	gö-
3s	ka-	<i>i</i> -	ya-
1pe	иа	kukai	ga-
1pi	ka-	ta-	da-
2p	ėa	nukam	gi-
3p	da/na-	ra-	ndra-



The collapse of the AV-UV distinction in **Type 1** languages:

 Multiple Active Voice Constructions, e.g., as seen in Enggano and Mentawai

The AV Const. with pronominal indexing prefix

Type 1: Mentawai (my fieldwork data)

- a. *Ekeu* **nu-saki** sokkit 2SG 2SG-buy pants 'You (will) buy pants.'
- Aku saki sokat niate leppei, tak tutu 1SG buy yesterday COP shirt NEG hat 'The thing I bought yesterday is a shirt, not a hat.'
- c. Sokat **a-masi-saki** leppei niate aku yesterday PST-AV-buy shirt COP 1SG 'Yesterday the person who bought the/a shirt was me.'
- d. Kasei a-i-kukru [jojok nera]_i?
 who PST-3_i-chase dog that
 i) 'Who was chased by the dog?/Who did the dog chase?'
 ii) ?* 'Who chased the dog.'

Types of Attrition of Austronesian Voice Symmetricality

The collapse of the AV-UV distinction in **Type 2** languages:

- Single AV Construction without verbal voice morphology as in Manggarai and Rongga (Type 2).
- Types 1 & 2 languages are still classifiable as having **alternating systems**.

Image: service servic

Type 2: Manggarai & Rongga (Arka & Wouk 2014:317)

(Manggarai) a. Aku cero latung=k(1)frv 1s corn=1s 'I fry/am frying corn' Latung hitu cero l=aku=ib. that frv by=1s=3s corn 'The corn is (being) fried by me' a. Ardi pongga ndau (Rongga) (2) ana hit child that A 'Ardi hit the child' b. Ana ndau Ardi pongga ne child that hit by Α 'The child was hit by Ardi'

Types of Attrition of Austronesian Voice Symmetricality



The complete demise of AN voice system in <mark>Type 3</mark> languages:

- The emergence of fully systematic indexing bound pronouns (e.g., the NOM and DAT pronouns), as in Kodhi and Kambera (Type 3).
- Type 3 languages show no voice alternations: they are non-alternating

Type 3: Kambera & Kodhi

- (1) Kambera (Klamer 1996:13)
 - a. Ka nyuna na_j -tinu-nya_k na lau_k . CNJ she 356.NOM-weave-35G.DAT ART sarong 'So that she weaves the sarong' (Lit. 'she she-weaves-it the sarong.')
 - b. Ka na $|au_k|$ $|na_j$ -tinu-nya_k| nyuna_j. CNJ ART sarong 3SG.NOM-weave-3SG.DAT she 'So that the sarong was woven (by her).' (Lit. 'the sarong she-weaves-it she.')

(2) Kodhi (Ghanggo Ate & Arka 2024)

	Ayiyo	alkedhe	na kmbohi n 🕜	а	mni
	ayiyo	alakedha_i	na_i=kambohi=ni_j	а	maniyo_ <i>j</i>
	PROX.SG	child	3SG.NOM=afraid=3SG.DAT	DEF	owl
' This child was afraid of the owl.'					D59-OM Frog Story

		SOMEXHUM PERFORM		24	o Zardonego		
	ACEN		Free		Bound	Pronominal	
			Pronoun	NOM	ACC	DAT	GEN
		1SG	уауо	ku=	=gha	=ngga	=nggu
tion of		2SG	уоууо	Ø=	=ghu	=nggu	=mu
TION OT		3SG	dhiyo	na=	=ya	=ni	=na
Voice Symmetricality		1PL.INCL	yicca	ta=	=ghicca	=nda	=nda
voice Symmetricality	E	1PL.EXCL	yamma	ma=	=ghama	=nggama	=ma
		2PL	yemmi	mi=	=ghumi	=nggumi	=mi
		3PL	dhiyo	a=	=hi	=ndi	=dha

Type 3: Kambera & Kodhi

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Types of Attr

Austronesian

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PROX.SG	child	3SG.NOM=afraid=3SG.DAT	DEF	owl
' ⊺his ch	ild was afrai	[KC	D59-OM Frog Story]	





Type 1: Enggano, Mentawai, ... **No SUBJ-only constraint** Unlike Indonesian-type languages such as Balinese, which exhibit the SUBJ-only constraint in relativization, **OBJ can be relativized in Enggano, Mentawai, and Nias.**

• Enggano (Hemmings & Dalrymple, to appear):

Relativizing on A

Tapi [mė' ki-p-a'a' e-ya-k] arim ẽ'. da ah. KI-CAUS-show but REL DIR-exist-1PL.INCL.POSS DEM DEM PRED five PT 'But the ones that reflect our daily life are only five.' (Mahkota Adat, 29-30)

Relativizing on P

Anah [mė' u ki-'iu] ẽ'. thus REL 1SG KI-say DEM 'That's what I'm saying.' (Cerita Enggano, 140)



Less alternating or non-alternating, no SUBJ-only constraint

 Type 1: Enggano, Mentawai, Nias
 No SUBJ-only constraint OBJ can also be relativized in Nias. The relativiser *si*= is the cognate of the Enggano ki-.

• The following shows G is relativised in Nias (Brown 2001:417).

Niha	si=ma=u-ваваlö	kefe	sibaya-gu				
person	REL=PERF=1sRLS-borrow	money	uncle-1s.POSS				
'The person I borrow money from is my uncle."							





- Types 1 & 3:
 - coindexed & backgrounded arguments: passive-like

 Type 1: Coindexing and backgrounding of A in Mentawai: passive-like



Type 3: A similar strategy is observed in non-alternating languages like Kambera (Klamer 1996):

Ka na lau_k na_j-tinu-nya_k nyuna_j. CNJ ART sarong 3SG.NOM-weave-3SG.DAT she 'So that the sarong was woven (by her).' (Lit. 'the sarong she-weaves-it she.')



Less alternating or non-alternating, co-indexing system

Type 1: Basemah

- Coindexing/backgrounding of A: Ambiguity between UV & PASS
- (But this is also seen Balinese, a language with robust UV/voice symmetricality.)





- **Type 1, Barrier Island**s; e.g. Enggano and Mentawai
- Type 2, western Flores; e.g. Manggarai and Rongga
- **Type 3, Sumba Island**; e.g. Kodhi and Kamberra

- Relevant properties in the gradual demise of UV/voice symmetricality:
 - (i) Attrition/loss of AV morphological marking
 - (ii) Emergence of systematic pronominal indexing affixes/clitics
 - (iii) Clausal word order: V-initial → V-medial
 - (iv) PIVOT: attrition of the SUBJ-only Constraint
- Questions:
 - How are properties in (i)-(iv) interrelated?
 - Which one is the main trigger or the most contributing variable?
- Examine more AN languages of Indonesia and test the correlation of the properties (i)-(iv).

Investigating properties responsible for the attrition of the voice alternation/symmetricality

Developing a simple database:

- Currently, it consists of 31 AN languages of Indonesia showing relevant voice-related properties, scaled with different values (indicated by their presence, absence or degrees of richness).
- Reflecting different AN types (e.g., Philippine type, Indonesian Type, etc.)
 - Tagalog is included to represent the Philippinetype, and more AN languages to be added for future studies.



Investigating properties responsible for the attrition of the voice alternation/symmetricality

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Overall Gradience of Voice Alternations:

- **4: Maximally alternating**, involving more than 4 voice types: AV, PT, GV, ... (the Philippine-type lgs; e.g. Tagalog)
 - 3: Alternating, typically 4 voice types: AV, UV (>1), PASS, APPL (transitional-type, Sulawesi languages)
 - 2: **Alternating**, 3 voice types: AV, (single) UV, PASS APPL, (Indo-type lgs)
 - Alternating, 2 voice types: AV, PASS; no UV (in the matrix clause).
- o: Non-alternating: no voice alternation, no UV and no PASS (in the matrix clause).

Investigating properties responsible for the attrition of the voice alternation/symmetricality

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Overall Gradience of Functionality of AV Morphology: Is the AN morphological AV marking (i.e., the reflex of the homorganic nasal substitution PMP * *maN-*) present and functional (for voice-related SUBJ selection)?

13: Yes, highly functional

- 2: Yes, (semi-)functional, but not the only marker to express 'active' voice; its presence may express MOOD)
- 1 Yes, but remnants (i.e., not functional)
- o: No

Gradience of richness of AN voice system: Degrees of overall voice alternation and functionality of AV/UV marking

Overall Gradience of Voice Alternations:

- **4: Maximally alternating**, involving more than 4 voice types: AV, PT, GV, ... (the Philippine-type lgs; e.g. Tagalog)
- 3: **Alternating**, typically 4 voice types: AV, UV (>1), PASS, APPL (transitional-type, Sulawesi languages)
- 2: **Alternating**, 3 voice types: AV, (single) UV, PASS APPL, (Indo-type lgs)
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Gradience of richness of AN voice system: Degrees of overall voice alternation and functionality of AV marking



FINDING 1:

- This is a strong positive correlation between the scale of AV morphology and the scale of the alternating system
- Analysis: The presence of functional AV morphology as an argument selector marking is critical for a vibrant AN voice alternation system.

Gradience of richness of AN voice system: Degrees of UV alternation (i.e. voice symmetricality) and AV marking

FINDING 2:

- There is also a strong positive correlation between the scale of AV morphology and the scale of the UV or Voice symmetricality.
- Analysis: the paradigmatic contrast of AV/UV marking distinct argument selector of A/P as SUBJ is critical



Gradience of richness of AN voice system: Degrees of UV alternation (i.e. voice symmetricality) and AV marking

Gradience of UV (Undergoer Voice) or voice symmetricality:

- **4:** Multiple (three or more) UV Constructions with distinct morphology (e.g., as in Tagalog PV, LV, ...);
- **3: Two UV Constrs** with distinct morphology (as in Kelabit: PV, LV, etc.);
- 2: Single (robust) UV Constr across all PERS (as in Indonesian & Balinese);
- 1: Remnant of UV (e.g., ni-/i- only in embedded structure possibly with GEN NOM as in Nias, or prefixed active bare-Verb constructions possibly with co-indexation and backgrounding with PP/NP as in Sumbawa and Selayarese);
- **o: No UV** (i.e., possibly multiple active types as in Enggano, single AV as in Manggarai, or no AV-nonAV opposition as in Keo)



Gradience of Richness of Prefix/Proclitic

- 3: NOM (S/A) pronominal prefixes across all PERS
- 2: Split (e.g. 1 or 2 vs. 3; active A/Sa, as in Achenese)
- 1: Only A prefix (i.e. ergative-like)
- o: Absence of A/ S

Gradience of Richness of Suffix/Enclitic

- 4: Very Rich, distinct multiway markings for P/S/G/T/A like Kodhi and Sumba languages;
- 3: NOM or ABS across all PERS for S/(A)/P;
- 2: Split (e.g. 1 or 2 vs. 3; P/Sp, as in Achenese);
- 1: only P or A (i.e. accusative- or ergative-like);
- o: Absence of any verbal pronominal enclitic/suffix



Gradience of Richness of Both Pronominal Prefix/proclitic and Suffix/Enclitic

- 7 (i.e. max of 3+4): Rich NOM (S/A)+ Rich Post verbal S/A/G/T/A
- 5: Relatively rich preverbal NOM (S/A) NOM + Relatively rich postverbal ABS across all PERS for S/(A)/P;
- 3: Not so rich, showing Split
- o: Absence of any verbal pronominal clitic/affix



FINDING 3:

 While there is a negative correlation between the presence of pronominal affixes/clitic and voice symmetricality, and the correlation is not significant



Gradience of richness of AN voice system: Degrees of UV (or symmetricality) and clausal word order

Clausal Word Order

• 3: V{S,O},

• 2: SVO;

• 1: {S,O}V



Gradience of richness of AN voice system: Degrees of UV (or symmetricality) and clausal word order

FINDING 4:

- There is a moderate positive correlation between clausal word order and Undergoer Voice (or voice symmetricality):
 - significant at the 5% level of confidence (p < .05)
- Intriguing: the more SVO (or A-V-P), the less UV/less symmetrical
 - Ultimately non.symmetrical [pron.A-VERB-pron.P]



Gradience of richness of AN voice system: Degrees of UV (or voice symmetricality) and SUBJ-only Constraint

Is there syntactic PIVOT, and does it show a SUBJonly constraint?

- 2: YES: strong evidence for PIVOT and SUBJ-only constraint (as seen in Balinese)
- 1: YES: there is (some) evidence for PIVOT, but it is not restricted to SUBJ (e.g. OBJ can be relativised)
- o: No PIVOT and NO SUBJ-only constraint



Gradience of richness of AN voice system: Degrees of UV (or voice symmetricality) and SUBJ-only Constraint

FINDING 5:

 There is a strong positive correlation between UV/voice symmetricality and SUBJ-only constraint



Gradience of richness of AN voice system: Degrees of AV and SUBJ-only Constraint

Is there syntactic PIVOT, and does it show a SUBJonly constraint?

- 2: YES: strong evidence for PIVOT and SUBJ-only constraint (as seen in Balinese)
- 1: YES: there is (some) evidence for PIVOT, but it is not restricted to SUBJ (e.g. OBJ can be relativised)
- o: No PIVOT and NO SUBJ-only constraint



Gradience of richness of AN voice system: Degrees of AV and SUBJ-only Constraint



FINDING 6:

 There is a strong positive correlation between AV and SUBJ-only constraint The attrition of AV/UV/voice symmetricality can occur at either of the following levels:

- FORMAL LEVEL: morphological forms; e.g., αH- and bu~/mu- in Enggano & Mentawai
- FUNCTIONAL LEVEL: The loss of one or all of the following functions:

 (a) as an argument-role SUBJ/PIV selector (i.e. semantic-syntactic function)
 (b) as a prominence marker (i.e. discourse-pragmatic i-str function)
 (c) as a TAM encoder (i.e. semantic function)

Voice Symmetricality Variables	Pearson R value	Confidence level	Result
AV and UV (Voice Symmetricality)	0.8252	p < .01	significant
AV and Overall Voice Alternations	0.8079	p < .01	significant
AV annd SUBJ-only constraint	0.6117	p < .01	significant
UV and SUBJ-only constraint	0.5285	p < .01	significant
UV and Clausal Word Order	0.4337	p < .05	significant
UV and Pronominal Affixes	-0.1643	p < .05	not significant

- 1. AV retention/attrition -
- 2. SUBJ-only retention/attrition
- 3. UV retention/attrition
- 4. Clausal word order to SVO
- 5. Pronominal coindexing

The most critical/significant property for a vibrant AN voice alternation/symmetricality

Not a significant trigger for the attrition/demise of voice symmetricality

Not a morphological resource for voice alternation

The findings highlight two distinct AV/UV functions:

- A. AV/UV markers are **primarily semantic role selectors** for syntactic SUBJ/PIVOT:
 - AV selects the most A-like as SUBJ/PIV vs.
 - UV selects most P-like as SUBJ/PIV
 - **SUBJ/PIV** is a grammatically privileged function in the formation of certain structures in the grammar, e.g. being gapped and bearing contrastive FOCUS/TOPIC in relative clauses.
- B. They also have TAM functions broadly:
 AV: durative/imperfective, REALIS
 UV: perfective, or IRR

What do we learn?

- Austronesian languages in the Barrier Islands and other peripheral regions of Indonesia exhibit varying lower degrees of voice alternation and voice symmetricality.
- The present study identifies the **formal-functional contrast of Actor Voice (AV) as a semantic role selector (marking A as SUBJ/PIV) as the most critical variable** influencing the retention, attrition, or loss of AN voice symmetry.
 - A partial/complete loss of AV morphological material has a consequential impact on the distinction of AV/UV; hence partial/full loss of AN voice symmetricality.

- While the indexing pronominal system shows a negative correlation with the attrition or loss of the symmetrical voice system, this correlation is not statistically significant. (Future research: add more languages to the database to verify this.)
 - From a historical perspective, the extensive development of pronominal indexing systems likely occurred after the total loss of AV-related morphological material, as observed in Sumba languages such as Kodhi and Kambera.

- In the **highly isolating Flores languages** (Manggarai and Rongga), the SUBJ-only constraint is retained despite the total loss of AV morphological material.
 - This seems to be linked to a shift to strict SVO word order with the possible postverbal backgrounding or downgrading of A to Oblique. This shift results in a nominative (NOM) pattern: [S/A - V - P/Obl], permitting a passive

 The findings in the present study are in line with Ge & Comrie's (2022) regarding alignment between valency (or voice) alternation strategies and the morphological typology (and therefore typological constraint) of a language:

a universal/strong tendency to shift rightward on the following scale, indicating less bound morphology:
 Fusional → Agglutinative → Isolating.

- > Isolating languages rarely use agglutinative or fusional techniques.
- > Agglutinative languages use both agglutinative and isolating techniques, with minimal fusional use.
- > Fusional languages utilize all three techniques, though isolating techniques dominate.
- The present study of the AN voice attrition shows there is a **correlation** between the richness of AV/UV-related morphological resources and the diversity or richness of AV/UV alternations (i.e. voice symmetricality) it can exhibit.

Further Research

- Adding more AN languages to the database to be representative of the many faces of the AN voice (sub)systems)
 - The V-final AN languages are not yet represented in the database
 - No Formosan AN languages are so far included in the current database



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